



There is a PDS dated 29 February 2016 and supplementary PDS dated 30 September 2017 for these securities. This PDS contains information about investing in these securities and it is recommended that investors read the entire PDS before deciding to invest in these securities. Any person who gives another person access to this application form must at the same time and by the same means give the other person access to the PDS and any supplementary documents. Tasmanian Perpetual Trustees will send paper copies of the PDS without charge (within Australia) upon request.

## 1. BUSINESS DETAILS

If you are an existing client of Tasmanian Perpetual Trustees please quote your account reference number

Please tick type of Investor  Company  Partnership  Trust (formal)  Superannuation  Government  
 Other (please specify)

Business Name

Nature and purpose of business

Tax File Number or exemption  ABN  ACN

Contact Person

Contact Details Phone (Business)  Mobile

Email  Fax

Registered Business Address (must not be a PO Box) Street

Suburb/Town  State  P/code

Primary Place of Business (must not be a PO Box) Street

Suburb/Town  State  P/code

Postal Address applicable to account P.O. Box/Street

Suburb/Town  State  P/code

Is the Business registered in another country for tax purposes?  Yes  No

If yes, country of tax residence  Tax Identification Number (TIN) from foreign country

## 2. INVESTMENT FUND AND AMOUNT

Fixed Term Fund \$  Long Term Fund \$  Select Mortgage Fund \$

Source of funds: Please provide brief details; e.g. transfer from another institution etc.

Please note that all distributions will be reinvested into your Fund account unless an Account Authorities Form is completed with specific instructions. Please contact any branch of Tasmanian Perpetual Trustees for further information.

## 3. DISTRIBUTION INSTRUCTIONS

Reinvest in additional units in the applicable Fund  Deposit into my bank account as per details below:

Bank Details Account Name

Financial Institution

BSB  Account Number

Please note all distributions will be reinvested into your Fund account unless indicated above. Please contact any branch of Tasmanian Perpetual Trustees for further information.

## 4. INVESTMENT ACCOUNT DETAILS

Account Name

Account Notation (if required)



## 4. INVESTMENT ACCOUNT DETAILS CONTINUED

If the account is a trust account please complete details below:

Number of Account Signatories

Please tick if applicable  TFN provided on behalf of trustee  Investor TFN provided

For Trust accounts, provide the names of all the individuals or class of individuals who are beneficiaries of the trust, e.g. unit holders, family members, charitable purposes etc. All details must be completed.

For companies provide the names of the individuals who directly or indirectly control<sup>†</sup> the company.

<sup>†</sup> Includes exercising control through the capacity to determine decisions about financial or operating policies; or by means of trusts, agreements, arrangements, understanding & Practices; voting rights of 25% or more; or power of veto. If no such person can be identified then the most senior managing officials/s of the company (such as the managing director or directors who are authorised to sign on the company's behalf).

### Beneficial Owner 1

Full Name or Class of Beneficiary  Date of Birth

Residential Address

Suburb/Town  State  P/code

Postal Address

Suburb/Town  State  P/code

Contact Details  Phone  Email

Are you a resident of another country for tax purposes?  Yes  No If yes, country of tax residence  Tax Identification Number (TIN) from foreign country

Are you a politically exposed person?  Yes  No A politically exposed person (PEP) is a person or immediate family member of a person, who holds a prominent public position or function in a government body or international organisation. PEP status is defined and regulated under Australia's Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (Cth).

### Beneficial Owner 2

Full Name or Class of Beneficiary  Date of Birth

Residential Address

Suburb/Town  State  P/code

Postal Address

Suburb/Town  State  P/code

Contact Details  Phone  Email

Are you a resident of another country for tax purposes?  Yes  No If yes, country of tax residence  Tax Identification Number (TIN) from foreign country

Are you a politically exposed person?  Yes  No A politically exposed person (PEP) is a person or immediate family member of a person, who holds a prominent public position or function in a government body or international organisation. PEP status is defined and regulated under Australia's Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (Cth).

### Beneficial Owner 3

Full Name or Class of Beneficiary  Date of Birth

Residential Address

Suburb/Town  State  P/code

Postal Address

Suburb/Town  State  P/code

Contact Details  Phone  Email

Are you a resident of another country for tax purposes?  Yes  No If yes, country of tax residence  Tax Identification Number (TIN) from foreign country

Are you a politically exposed person?  Yes  No A politically exposed person (PEP) is a person or immediate family member of a person, who holds a prominent public position or function in a government body or international organisation. PEP status is defined and regulated under Australia's Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (Cth).



## 5. ANNUAL REPORT

Please tick if you wish to receive a hard copy of the Annual Financial Report for the Fund(s). The Annual Financial Reports are also available online at [tasmanianperpetual.com.au](http://tasmanianperpetual.com.au)

## 6. APPLICATION SIGNATURE(S)

I/We have received and read this PDS dated 29 February 2016 and supplementary PDS dated 30 September 2017 and agree to be bound by its terms and conditions and the Constitution of the relevant Fund.

I/We declare that all details given in this application are true and correct.

I/We acknowledge that neither Tasmanian Perpetual Trustees Limited, nor MyState Limited or MyState Bank Limited guarantee the repayment of capital or the performance of the Funds offered in this PDS or any particular rates of return from the Funds. Investments in the Funds are not deposits or other liabilities of MyState Limited or MyState Bank Limited, and investment-type products are subject to investment risk, including loss of income and capital invested.

If this application is signed by an attorney, the attorney states that there has been no notice of revocation of the power of attorney under the authority of which this application is signed. Any Tax File Number supplied at any time may be applied to this investment and previous or future investments in my/our names.

I/We agree to receive ongoing investor information including PDS information, confirmations of transactions, periodic reporting, ongoing disclosure of material changes and significant events and additional information as applicable, that can be sent to an email address that I/we provide to you (and/or through the Tasmanian Perpetual Trustees website).

**Please indicate the number of account signatories required to sign for withdrawals:**

Any one to sign    Two to sign    Other (please specify)  If this section is not completed all investors will be required to sign.

### Account Signatory 1

Full Name

Position  Director  Secretary  Other (specify)     DOB

Residential Address

Street

Suburb/Town     State     P/code

Signature     Date

### Account Signatory 2

Full Name

Position  Director  Secretary  Other (specify)     DOB

Residential Address

Street

Suburb/Town     State     P/code

Signature     Date

### Account Signatory 3

Full Name

Position  Director  Secretary  Other (specify)     DOB

Residential Address

Street

Suburb/Town     State     P/code

Signature     Date

### Company Seal

Company Name

Company Seal (if applicable)

Where a Company Seal is required, a Director and a Director or Secretary must sign the Application Form unless the Company has only one Director who is also the Company Secretary



# Identification Certification Form

## CATEGORIES OF CERTIFIERS

Please tick the relevant Category of Referee:

- |  |   |
|--|---|
| <input type="checkbox"/> 1. Legal Practitioner                       | <input type="checkbox"/> 8. Police Officer  |
| <input type="checkbox"/> 2. Judges                                   | <input type="checkbox"/> 9. Agent of Australia Post   |
| <input type="checkbox"/> 3. Magistrates                              | <input type="checkbox"/> 10. Employee of Australia Post (2 years service)                           |
| <input type="checkbox"/> 4. CEO of a Commonwealth Court              | <input type="checkbox"/> 11. Australian Consular or Diplomatic Officer                              |
| <input type="checkbox"/> 5. Registrar or Deputy Registrar of a court | <input type="checkbox"/> 12. Officer of Financial Institution (2 years service)                     |
| <input type="checkbox"/> 6. Justice of the Peace                     | <input type="checkbox"/> 13. Finance Company Officer (2 years service)                              |
| <input type="checkbox"/> 7. Notary Public                            | <input type="checkbox"/> 14. Officer or Authorised Representative of AFS licensee (2 years service) |
|  | <input type="checkbox"/> 15. Accountants (member of a recognised accounting body)                   |

## CERTIFIER'S DETAILS

Title, Full Given Names and Surname			
Residential or Business Address ( <b>NOT</b> PO Box)	Street		
	Suburb/Town	State	P/code
Contact Number	Occupation		

## DOCUMENT TO BE CERTIFIED

See list of acceptable documents and how to complete, which can be found on the following page.

Title, Full Given Names and Surname to whom it relates			
Category of Document	<input type="checkbox"/> Document details		
Type Document and Reference No. (if applicable)			
Title, Full Given Names and Surname to whom it relates			
Category of Document	<input type="checkbox"/> Document details		
Type Document and Reference No. (if applicable)			
Title, Full Given Names and Surname to whom it relates			
Category of Document	<input type="checkbox"/> Document details		
Type Document and Reference No. (if applicable)			

## CERTIFIER STATEMENT

I have examined the original identification document/s listed above. I have attached a copy of each identification document and endorsed each copy of the identification document in the following manner:

***This is to certify that this is a true copy of the original document/s which I have sighted.***

**Date** <Insert date> **Name** <Insert your full name> **Signed** <Your signature> **Title Registration Number (if applicable)** <Insert number>

I understand that it is an offence under the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 to give false and misleading information.

Signature	<input type="text"/>	Date	<input type="text"/>
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Under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (Cth)* Tasmanian Perpetual Trustees must verify an individual's identity before it provides them with services and products.

## Required Documents

This means that when an individual applies to be a customer of Tasmanian Perpetual Trustees, they must show Tasmanian Perpetual Trustees:

- 1 document from the Category A list or
- Both:
  - i. 1 document from the Category B list; and
  - ii. 1 document from the Category C list; or
- Both:
  - iii. 1 document from the Category D list; and
  - iv. 1 document from either the Category B or C list.

## Category A Documents

- A current drivers licence or permit issued by an Australian State or Territory that contains the person's photograph;
- A current passport issued by the Australian Government (or one that has expired within last 2 years); or
- A current card issued by an Australian State or Territory for the purpose of proving the person's age, which contains a photograph of the person in whose name the document is issued;
- A current passport or similar document issued for the purpose of international travel, that:
  - Contains a photograph and the signature of the person whose name the document is issued;
  - Is issued by a foreign government, the United Nations or an agency of the United Nations; and
  - If it is written in a language that is not understood by the person carrying out the verification, is accompanied by an English translation prepared by an accredited translator.

## Category B Documents

- A birth certificate or birth extract issued by a State or Territory;
- A citizenship certificate issued by the Australian Government;
- A pension card issued by Centrelink that entitles the person in whose name the card is issued, to financial benefits;
- An electoral enrollment card or other evidence of enrollment not more than two years old;
- A current Medicare card, Department of Veteran's Affairs entitlement card or any other current entitlement card issued by the Commonwealth Government;
- A document held by Tasmanian Perpetual Trustees conferring an interest by way of security over property of the Customer;
- Records relating to a mortgage or other instrument of security granted to the Customer by another Financial Institution other than Tasmanian Perpetual Trustees; or
- Records held under law relating to land titles.

## Category C Documents

- A notice issued to an individual by the Australian Government, a State or Territory within the last 12 months that contains the name of the individual and their residential address and records the provision of financial benefits to the individual;
- A notice of assessment issued to an individual by the Australian Taxation Office within the last 12 months that contains the name of the individual and their residential address; or
- A notice that was issued to an individual by a local government body or utilities provider within the preceding three months that contains the name of the individual and their residential address and records the provision of services to that address or to that person.

## Category D Documents

- A current Tasmanian photographic Firearms Licence issued by the Department of Police and Public Safety;
- A current Tasmanian photographic Security Agent, Inquiry Agent, Crowd Control Agent or Commercial Agent Licence issued by the Department of Justice, Consumer Affairs and Fair Trading;
- A current photographic identity card for the member of an Australian Police Force or Australian Defence Force; or
- A current consular photographic identity card issued by the Department of Foreign Affairs and Trade.

## Individuals in particular circumstances

A person's particular circumstances may mean that they are unable to show Tasmanian Perpetual Trustees the documents (or the combination of documents) set out in Categories A, B, C and D. If that person falls within one of the categories listed below that person may prove

their identity by showing Tasmanian Perpetual Trustees the documents and where relevant answering the questions listed under that category.

## A Child under 18 years of age

The following documents:

- A birth certificate or birth extract issued by a State or Territory; and (at least one of the three following options)
- A notice that was issued to a person by a school principal within the preceding three months that contains the name of the person and their residential address and records the period of time that the person attended at the school; or
- A document issued by a Australian government, a State or Territory within the last 12 months showing a financial benefit - such as family tax part A or B payments, baby bonus, parenting payment, youth allowance, containing the child's name and date of birth or address; or
- If the child presents at a Branch to open an account with a parent who is an existing Tasmanian Perpetual Trustees customer, a Medicare Card can be accepted if the child's name appears on the same Medicare Card as the parent, and the parent's identity has been verified.

## Independent and reliable documentation

These lists are not exhaustive, other documents verifying your identity may be used if Tasmanian Perpetual Trustees determines that those documents are reliable and independent. Please contact Tasmanian Perpetual Trustees for further information. If any of the documents are in a previous name, you must provide an additional document that shows how your name was changed (issued and recorded by an Australian State or Territory; for example a Certificate of Marriage recorded by the Registry of Birth, Deaths and Marriages).

## Certified Documents

An individual who is applying to become a new Tasmanian Perpetual Trustees customer who is unable

to visit a Branch in person may have their identity verified by sending a copy of the required document

(or combination of documents) that identifies the individual as set out above and that has been certified as a true copy. If a person is to rely on a certified copy the following requirements must be satisfied. Certified copies of previously certified copies will not be accepted. A properly certified copy of the ORIGINAL document must be provided. The person certifying the document must have sighted the ORIGINAL.

Proper certification must appear on each page to be certified, and should show:

- the date;
- the signature of person certifying the document; and the name of the person certifying the document, this should be clearly printed or evident in any official stamp that is used;
- the title of the person certifying the document; and
- where relevant the registration number of the person certifying the document (for example a Justice of the Peace must include their registration number when certifying a document).

The persons who may certify a document are:

- a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described);
- a Judge of a Court;
- a Magistrate;
- a Chief Executive Officer of a Commonwealth Court;
- a Registrar or Deputy Registrar of a Court;
- a Justice of the Peace;
- a Notary Public (for the purposes of the *Statutory Declaration Regulations 1993 (Cth)*);
- a police officer;
- an agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public;
- a permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public;
- an Australian consular officer or an Australian diplomatic officer (within the meaning of the *Consular Fees Act 1955 (Cth)*);
- an officer with two or more continuous years of service with one or more financial institutions (for the purposes of the *Statutory Declaration Regulations 1993 (Cth)*);
- a finance company officer with 2 or more continuous years of service with one or more finance companies (for the purposes of the *Statutory Declaration Regulations 1993 (Cth)*);
- an officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more continuous years of service with one or more licensees; or
- a member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership.